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ATTORNEY DOCKET NO. Q62027  
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JAN 14 2002  
Technology Center 2100

In re application of

Sang-hyun SHIN

Appln. No. 09/774,008

Group Art Unit: 2151

Confirmation No.: 2294

Examiner: NOT YET ASSIGNED

Filed: January 31, 2001

For: IP-BASED COMMUNICATION SYSTEM BETWEEN TERMINALS AND METHOD  
THEREOF

**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Darryl Mexic  
Registration No. 23,063

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Date: January 8, 2002



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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

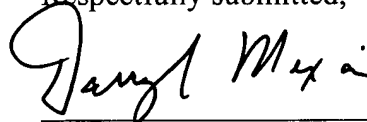
The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

INFORMATION DISCLOSURE STATEMENT  
ATTORNEY DOCKET NO. Q62027  
U.S. Appln. No. 09/774,008

request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since a Statement can be made, a Statement is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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Date: January 8, 2002

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| Substitute for form 1449 A & B/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>(use as many sheets as necessary)</i> |  |  |  | <i>Complete if Known</i> |                  |
|  |  |  |  | Application Number       | 09/774,008       |
|  |  |  |  | Confirmation Number      | 2294             |
|  |  |  |  | Filing Date              | January 31, 2001 |
|  |  |  |  | First Named Inventor     | Sang-hyun SHIN   |
|  |  |  |  | Art Unit                 | 2151             |
|  |  |  |  | Examiner Name            | NOT YET ASSIGNED |
|  |  |  |  | Attorney Docket Number   | Q62027           |

| U.S. PATENT DOCUMENTS |                          |                 |                                      |                                |   |
|-----------------------|--------------------------|-----------------|--------------------------------------|--------------------------------|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|                       |                          | Number          | Kind Code <sup>2</sup><br>(if known) |                                |   |
|                       |                          | US 5,974,453    |                                      | 10/26/1999                     | Anderson et al.                                 |
|                       |                          | US 2001/0009014 | A1                                   | 7/19/2001                      | Savage, III et al.                              |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |
|                       |                          | US              |                                      |                                |   |

| FOREIGN PATENT DOCUMENTS |                          |                              |                     |                                      |                                |  |                          |
|--------------------------|--------------------------|------------------------------|---------------------|--------------------------------------|--------------------------------|--|--------------------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document      |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Translation <sup>6</sup> |
|                          |                          | Country<br>Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                                |  |                          |
|                          |                          | WO                           | 98/59470            |                                      | 12/30/1998                     | TELEFONAKTIEBOLAGET LM<br>ERICSSON                 |                          |
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| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |                          |  |                          |
|---|--------------------------|--|--------------------------|
| Examiner<br>Initials*                             | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
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|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.